Practitioner's Docket No.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	IN INE UNITED STATES	AIERI ARD INADEMARK UTTICE		
Applica Filed: 1		Group No. 2821 Examiner: Wimer, M.C.		
☐ Pat	ent No.*:	Issued:		
*NOTE:		o for patent where notification is with respect to a maintenance umber and filing date, and add Box M. Fee to address.		
P.O. B	ssioner for Patents ox 1450 dria, VA 22313-1450			
		SMALL ENTITY STATUS F.R. § 1.27(c)(1))		
NOTE:	NOTE: "To establish small entity status after the payment of the basic filing or national stage fee as a non-small entity, a written assertion of small entity status is required to be submitted." Notice of September 8 2000, 65 Fed. Reg. 54604, at 54609.			
NOTE:		I/not small entity status) after issuance of the Notice of Allowance luction in patent term adjustment under 37 C.F.A. § 1.704(c)(10). 1 111–112, June 26, 2001.		
	(When using Express Mall, the	ER 37 C.F.R. §§ 1.8(a) and 1.10° Express Mail label number is mandatory; i certification is optional.)		
hereby	certify that, on the date shown below, the	-		
		MAILING		
deposited with the United States Postal Service P.O. Box 1450, Alexandria, VA 22313-1450		ce in an envelope addressed to the Commissioner for Patents,		
37 C.F.R. § 1.8(a)		37 C.F.R. § 1.10 *		
with sufficient postage as first class mail.		as "Express Mail Post Office to Addressee"		
		Mailing Label No (mandatory)		
	TF	ransmission		
facsir	nile transmitted to the Patent and Trade	mark Office, (571) 273-8390.		
		A/L		
Data		Signature		
Date:		Andrew W. CHu		

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider \*Express Mail Post Office to Addressee\* (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Assertion of Small Entity Status [7-8]-page 1 of 3)

(type or print name of person certifying)

NOTE: 37 C.F.A. § 1.27(c)(1): "Assertion by writing, Small entity status may be established by a written assertion of entitlement to small entity status. A written assertion must:

- (i) Be clearly identifiable;
- (ii) Be signed (see paragraph (c)(2) of this section); and
- (iii) Convey the concept of entitlement to small entity status, such as by stating that applicant is a small entity, or that small entity status is entitled to be asserted for the application or patent. While no specific words or wording are required to assert small entity status, the intent to assert small entity status must be clearly indicated in order to comply with the assertion requirement."

Applicant hereby states that applicant is a small entity and that status as a small entity is asserted for this

application	X)	appi	icat	on
-------------	----	------	------	----

patent

NOTE: 37 C.F.R. § 1.27(c)(2): "Parties who can sign and file the written assertion. The written assertion can be signed by:

- (i) One of the parties identified in § 1.33(b) (e.g., an attorney or agent registered with the Office), § 3.73(b) of this chapter notwithstanding, who can also file the written assertion;
- (ii) At least one of the individuals identified as an inventor (even though a § 1.63 executed oath or declaration has not been submitted), notwithstanding § 1.33(b)(4), who can also file the written assertion pursuant to the exception under § 1.33(b) of this part; or
- (iii) An assignee of an undivided part interest, notwithstanding §§ 1.33(b)(3) and 3.73(b) of this chapter, but the partial assignee cannot file the assertion without resort to a party identified under § 1.33(b) of this part."

## 35 C.F.R. § 1.33(b):

- (b) Amendments and other papers, Amendments and other papers, except for written assertions pursuant to § 1.27(c)(2)(ii) of this part, filed in the application must be signed byff:
  - (1) A registered attorney or agent of record appointed in compliance with § 1.34(b);
  - (2) A registered attorney or agent not of record who acts in a representative capacity under the provisions of § 1.34(a);
    - (3) An assignee as provided for under § 3.71(b) of this chapter; or
  - (4) All of the applicants (§ 1.41(b)) for patent, unless there is an assignee of the entire interest and such assignee has taken action in the application in accordance with § 3.71 of this chapter.

6 Nov 2006					
Date	Andrew W. Chu  (print or type name of person signing statement))  Ah				
<del>-</del>					
•	Signature				
P.O. Address of signatory					
<u> </u>	Inventor				
	Assignee(s) of complete interest				
	Person authorized to sign on behalf of assignee				
<b>8</b> 3	Practitioner of record under § .34(b)				
	Filed under § 34(a)				
	Registration No: 46625				
(if applicable)					
Telephone No. (713 ) 2248080					
Reg. No. 46625					
Customer No. 24106					
(complete the following, if applicable)					
(type name of assignee)					
Address of assignee					

(Assertion of Small Entity Status [7-8]-page 3 of 3)